

Alternatives – Initial Approval Request

Please submit the completed form below along with the requested fund's marketing presentation to AltsApprovals@corient.com

Category	Comments
Originating Local Office	
Originating Senior Investment Professional	
Requested Fund Name	
Requested Firm Name	
Total \$ Committed (to/by Prior Vintage)	
Total LPs Committed (to/by Prior Vintage)	
Historical Performance	
Target Fund Size	
Expected Total \$ Commitment (local office)	
Expected Number of LPs (local office)	
Direct or Feeder Fund (feeder provider)	

RATIONALE FOR INVESTMENT

1. Business case for why the local office is undertaking the investment.
2. Investment Thesis.
3. Reason for not utilizing other Corient-approved alternative investment offerings.

DESCRIBE POTENTIAL CONFLICTS OF INTEREST BELOW

For example, any client or employee affiliation with the sponsor.

Print Name: _____

Signature: _____

Date: _____

INITIAL APPROVAL AUTHORIZATION

YES

Approving Authority: _____

NO

Signature: _____

Date: _____